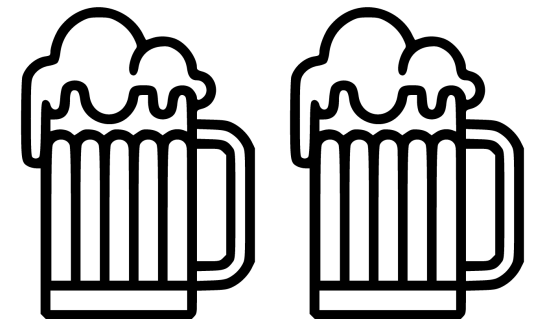


A Synchronous View on Behavior Trees

Reinhard von Hanxleden, Kiel University

Joint Work with Alexander Schulz-Rosengarten (U Kiel),
Benjamin Asch, Soroush Bateni, Marten Lohstroh, Edward Lee (UC Berkeley)

SYNCHRON 2022, Nov. 29, Fréjus, France



Praise for Behavior Trees

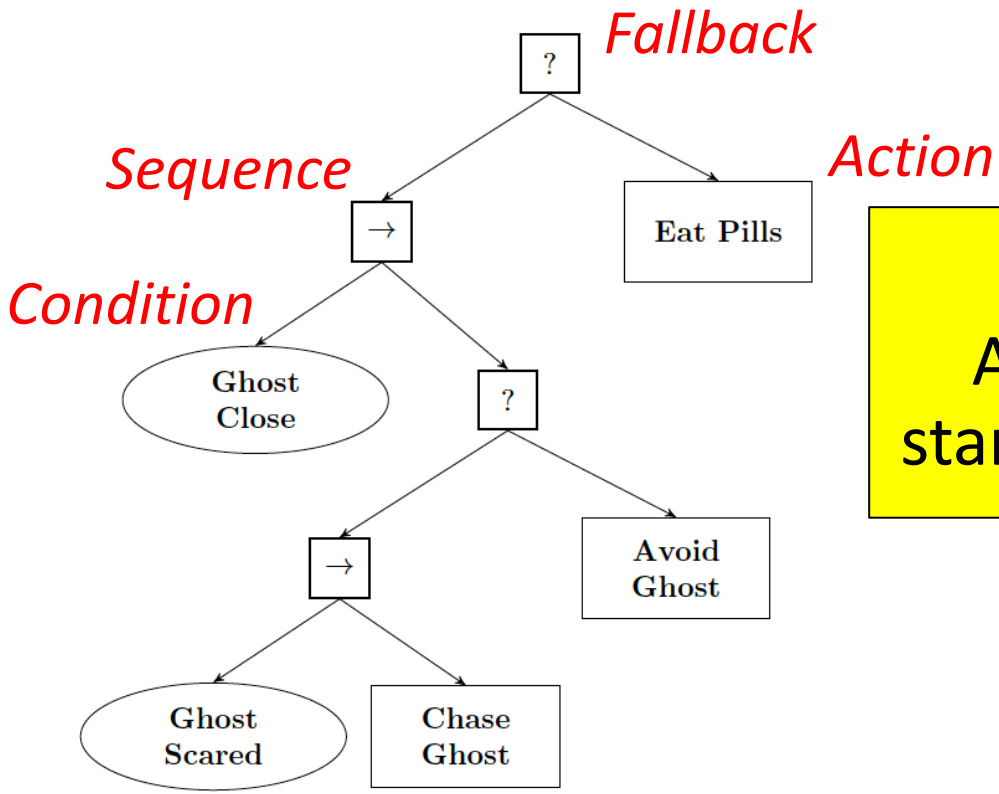
*“[...] Sure you could build the very same behaviors with a finite state machine (FSM). But anyone who has worked with this kind of technology in industry knows how fragile such logic gets as it grows. A finely tuned **hierarchical FSM** before a game ships is often a temperamental work of art not to be messed with!”*

Alex J. Champanard
Editor in Chief & Founder AiGameDev.com,
Senior AI Programmer Rockstar Games

This quote and parts of the following material taken from
[Colledanchise Ogren '20]
Michele Colledanchise and Petter Ogren,
Behavior Trees in Robotics and AI - An Introduction, 2020
<https://arxiv.org/pdf/1709.00084.pdf>



if ghost is close
 then if ghost is scared
 then chase ghost
 else avoid ghost
 else eat pills



Key Point:
 At every tick,
 start at **root** of BT

Figs from [Colledanchise Ogren '20]

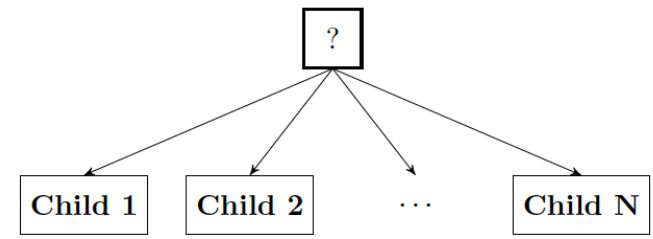


Fig. 1.3: Graphical representation of a Fallback node with N children.

Algorithm 2: Pseudocode of a Fallback node with N children

```

1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus \leftarrow Tick(child(i))$ 
3   if  $childStatus = Running$  then
4     return  $Running$ 
5   else if  $childStatus = Success$  then
6     return  $Success$ 
7 return  $Failure$ 
  
```

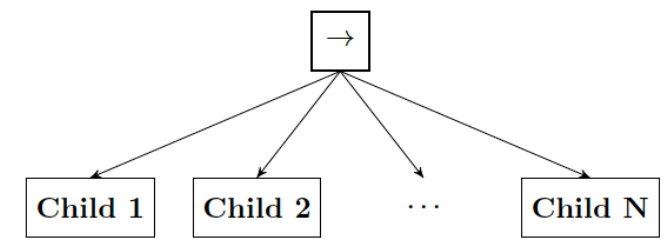


Fig. 1.2: Graphical representation of a Sequence node with N children.

Algorithm 1: Pseudocode of a Sequence node with N children

```

1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus \leftarrow Tick(child(i))$ 
3   if  $childStatus = Running$  then
4     return  $Running$ 
5   else if  $childStatus = Failure$  then
6     return  $Failure$ 
7 return  $Success$ 
  
```



Behavior Tree Building Blocks

Control Flow nodes: Sequence, Fallback/Selector, Parallel, Decorator

Execution nodes: Action/Task, Condition

Possible return values: Success, Running, Failure

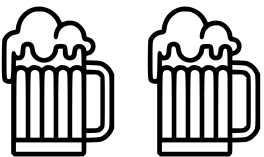
Node type	Symbol	Succeeds	Fails	Running
Fallback	?	If one child succeeds	If all children fail	If one child returns Running
Sequence	→	If all children succeed	If one child fails	If one child returns Running
Parallel	⇒	If $\geq M$ children succeed	If $> N - M$ children fail	else
Action	text	Upon completion	If impossible to complete	During completion
Condition	text	If true	If false	Never
Decorator	◇	Custom	Custom	Custom

Table from [Colledanchise Ogren '20]

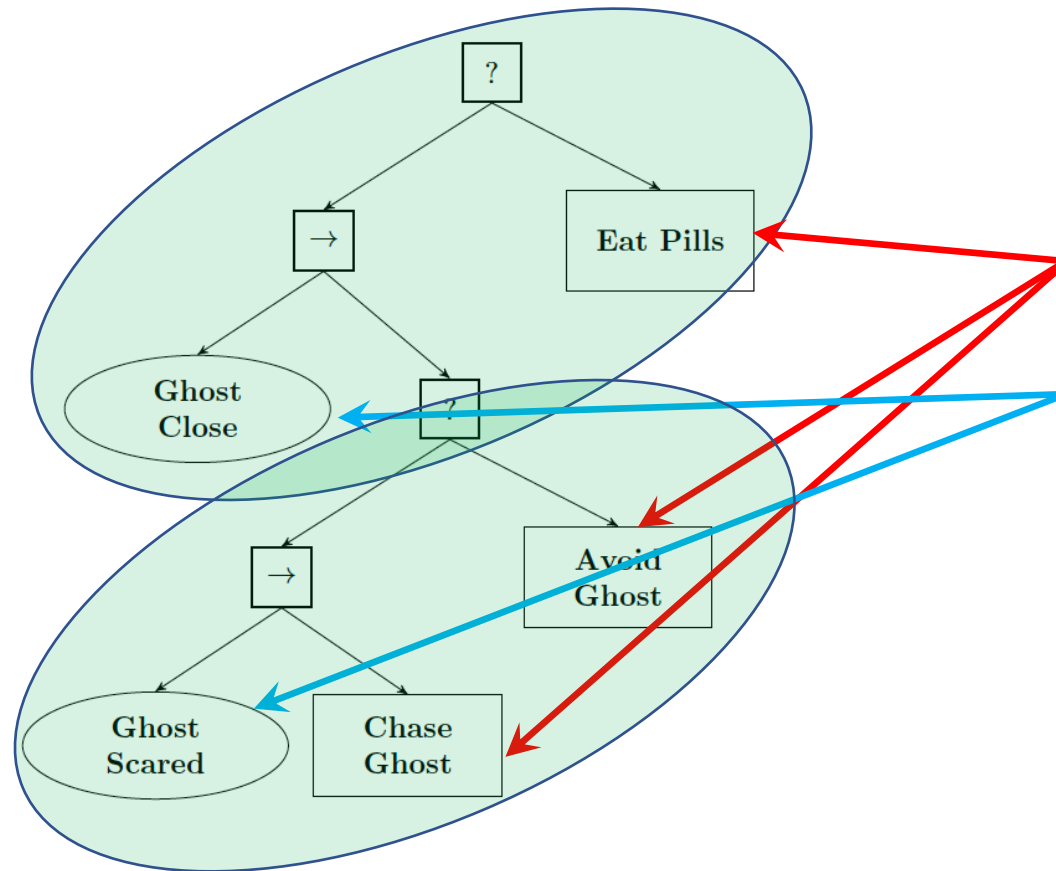


Behavior Trees in Lingua Franca

Preliminary work ...



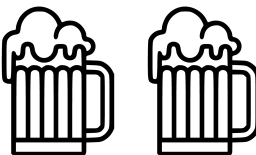
Modes in BTs – The “Select Mode Pattern”



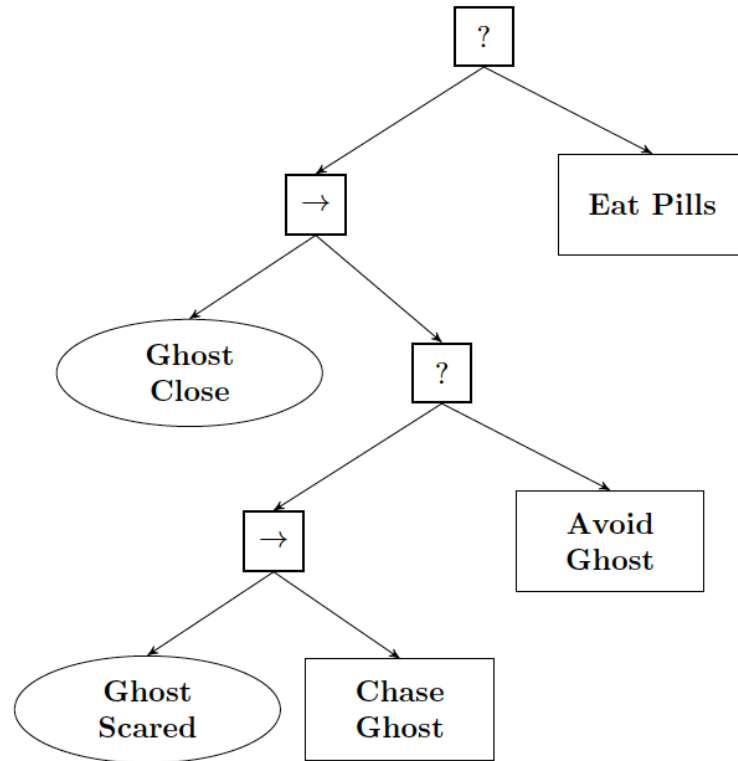
Observations:

- System has three **modes** (always return *Running*)
- Current mode determined by two **conditions** (return *Success* or *Failure*)
- Nested use of “**select mode pattern**” (our term), where one condition switches between two (inner) modes

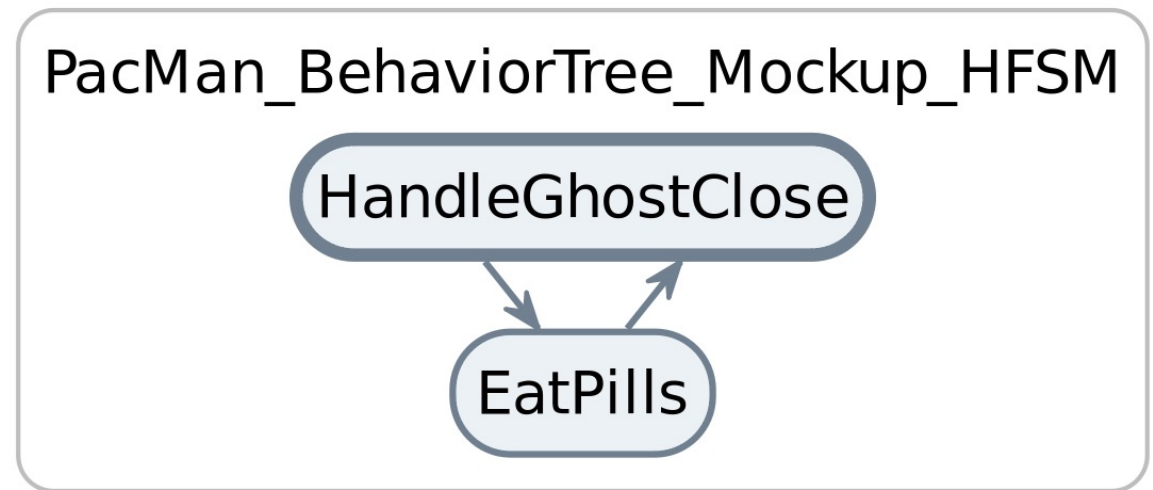
Fig from [Colledanchise Ogren '20]



Select Mode Pattern in LF



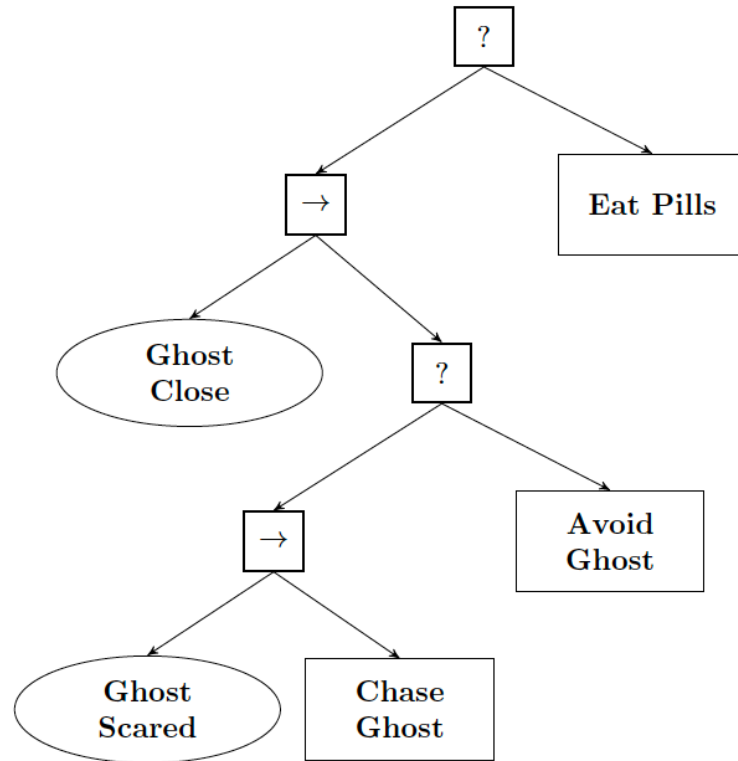
Inner modes collapsed:



[Colledanchise Ogren '20]

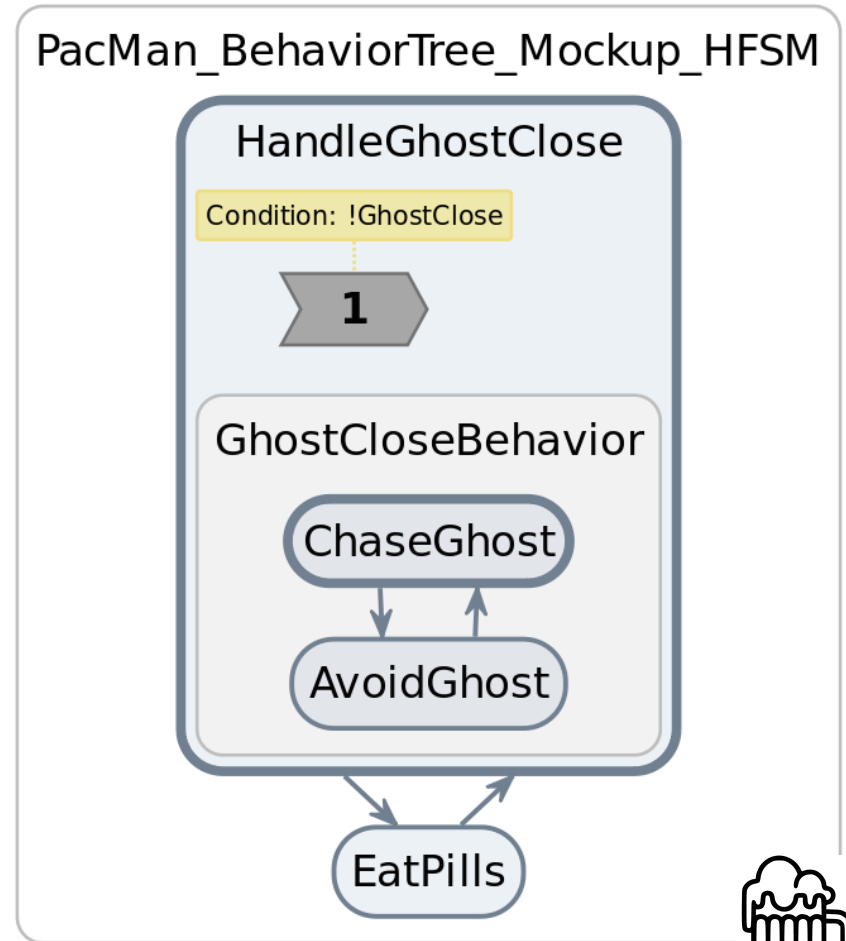


Select Mode Pattern in LF

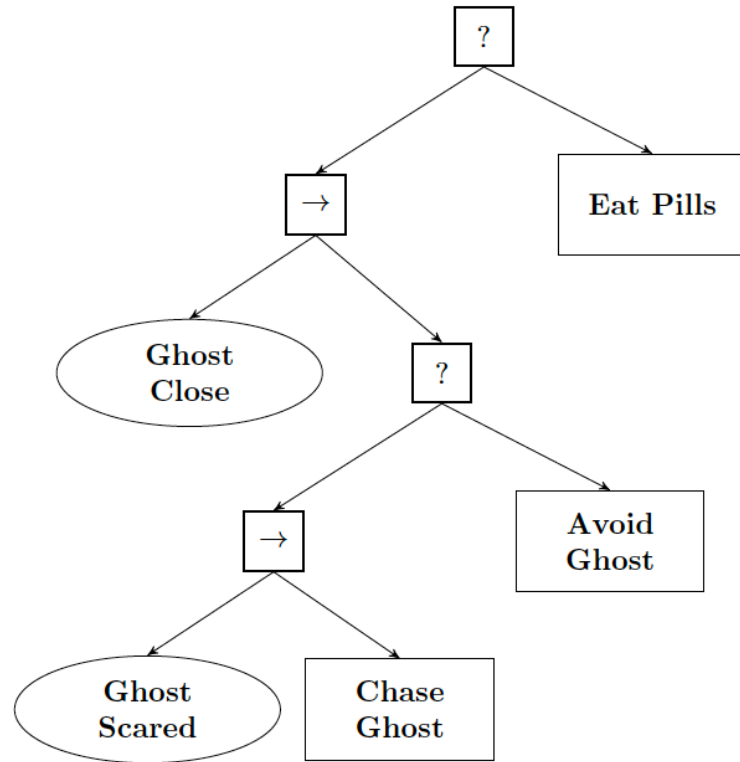


[Colledanchise Ogren '20]

Inner modes expanded:

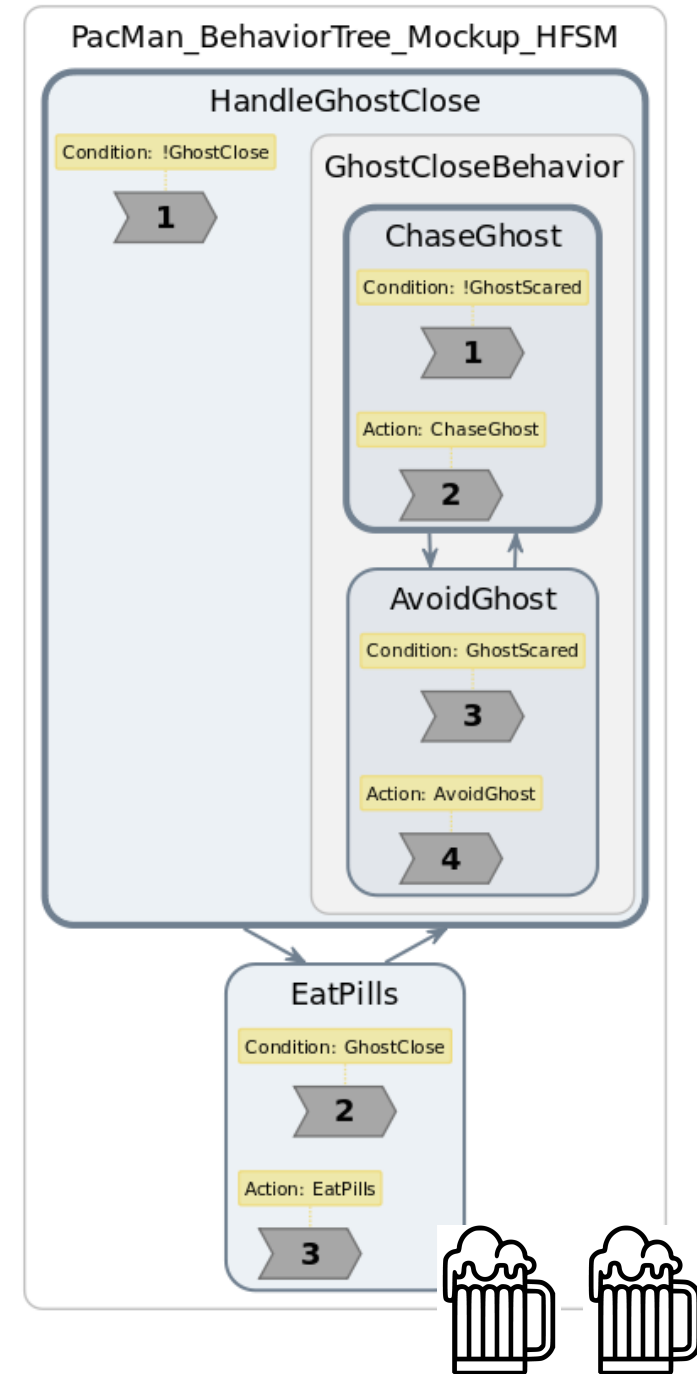


Select Mode Pattern in LF

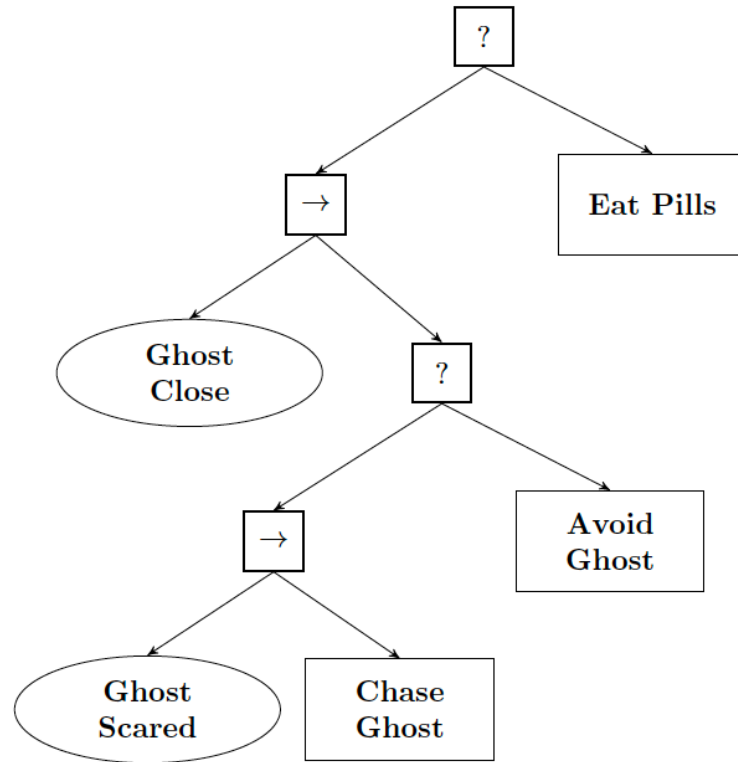


[Colledanchise Ogren '20]

With reactions:

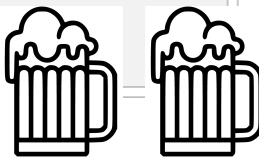
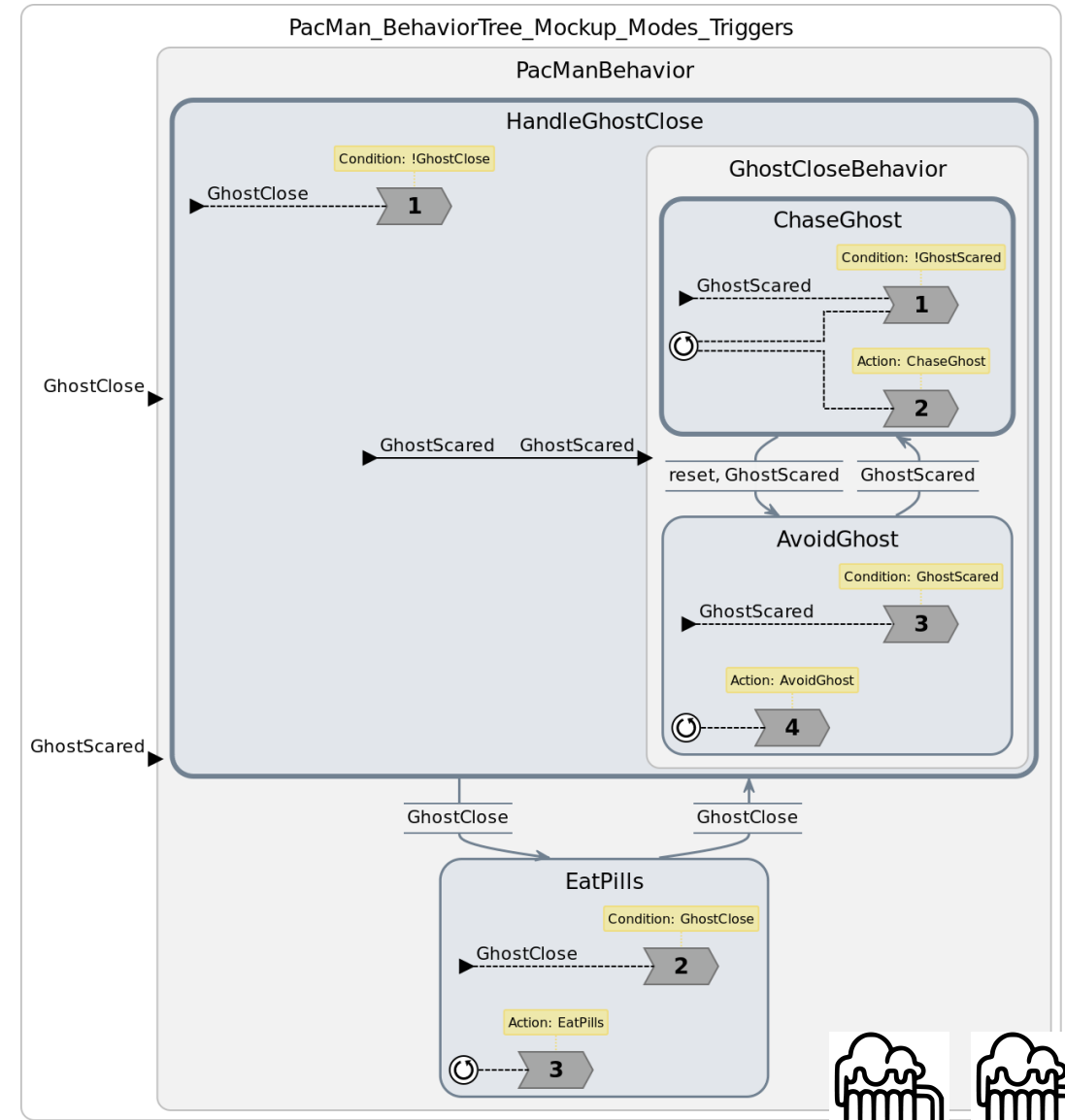


Select Mode Pattern in LF



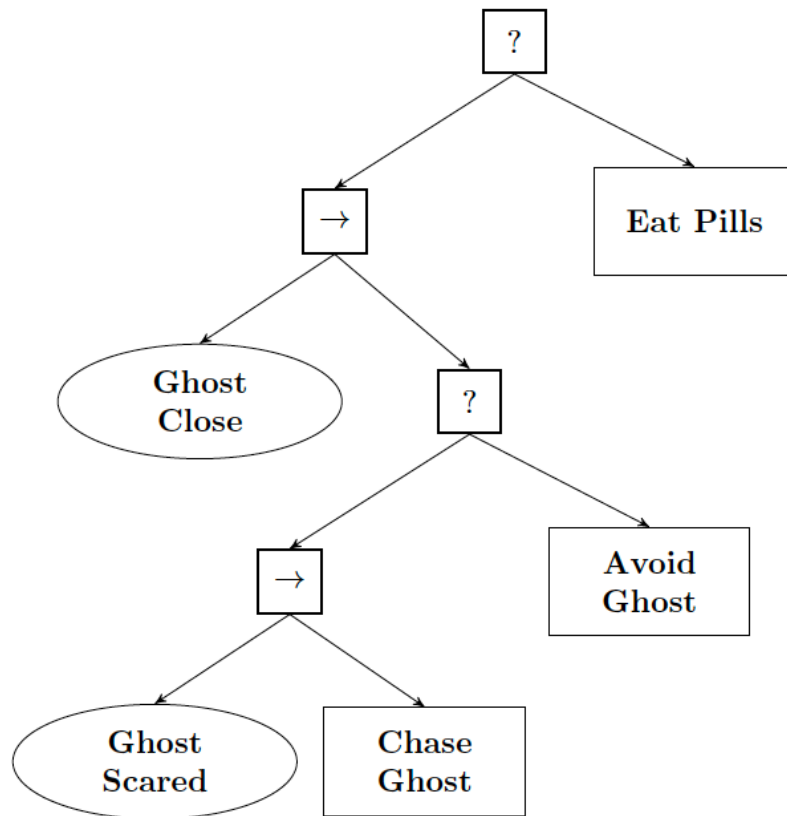
[Colledanchise Ogren '20]

With conditions:

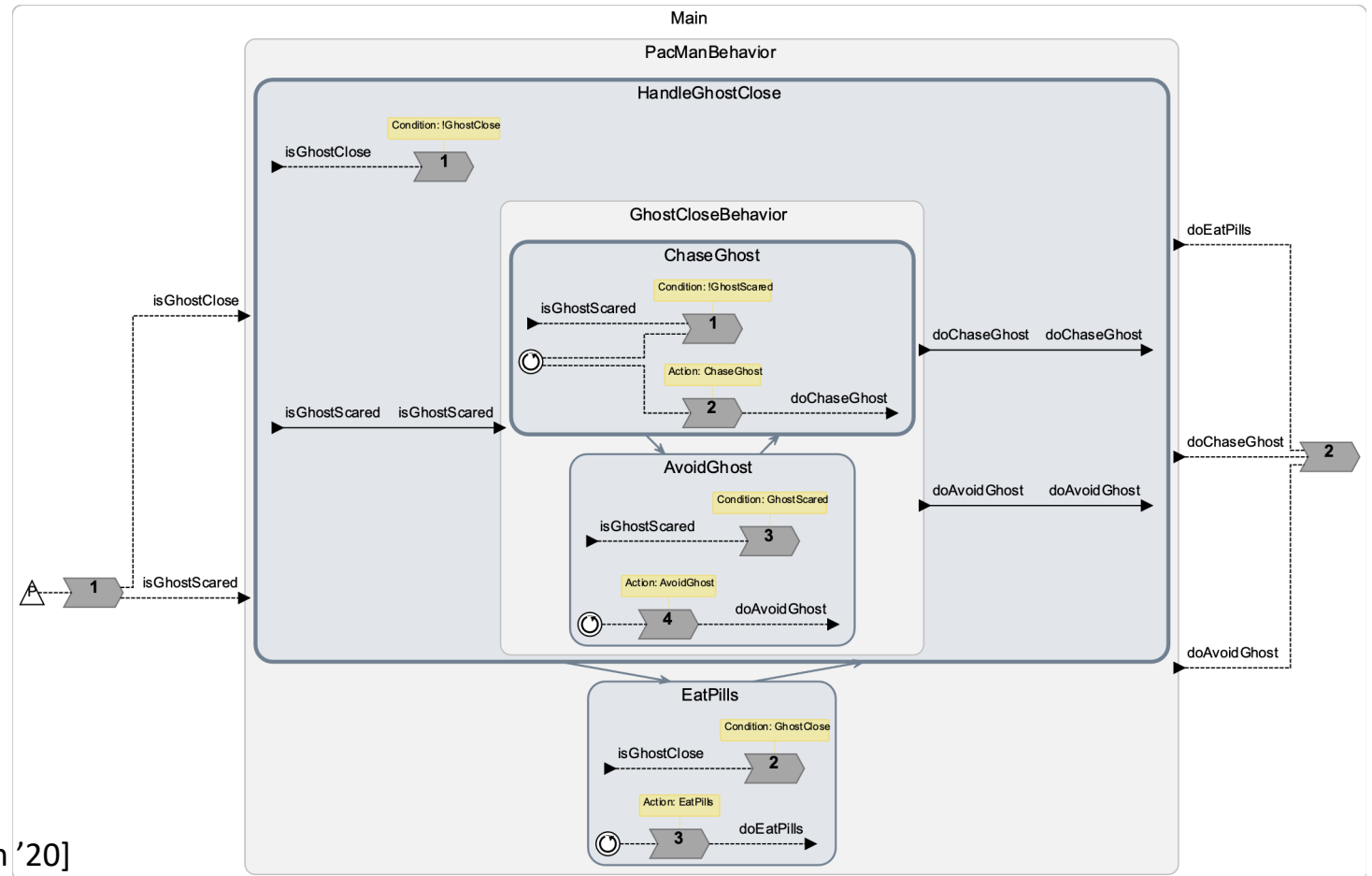


With Boolean outputs:

Select Mode Pattern in LF



[Colledanchise Ogren '20]



*This works ...
But is specific for this pattern*



FSM Construction by Colledanchise and Ogren

2.2.2 *Creating a FSM that works like a BTs*

As described in Chapter 1, each BT returns *Success*, *Running* or *Failure*. Imagine we have a state in a FSM that has 3 transitions, corresponding to these 3 return statements. Adding a Tick source that collect the return transitions and transfer the execution back into the state, as depicted in Figure 2.5, we have a structure that resembles a BT.

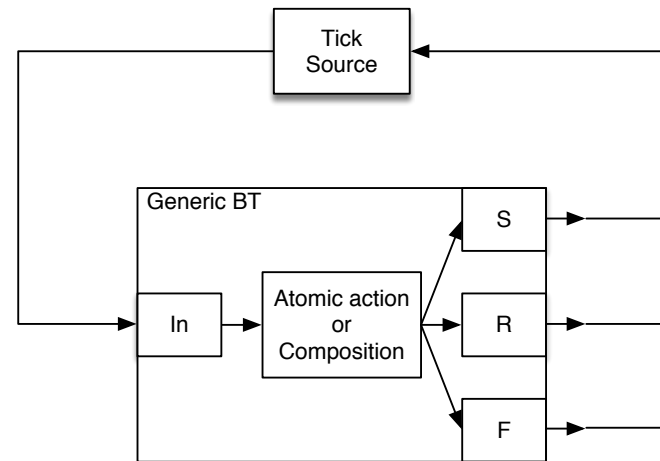


Fig. 2.5: An FSM behaving like a BT, made up of a single normal state, three out transitions Success (S), Running (R) and Failure (F), and a Tick source.



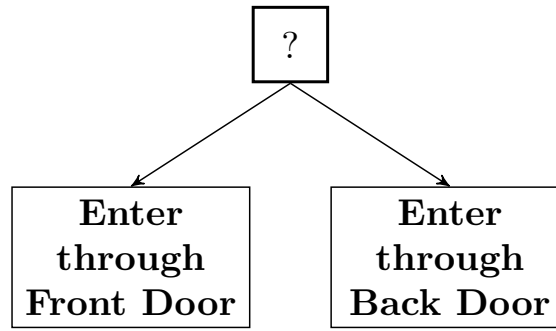


Fig. 2.6: A Fallback is used to create an *Enter Building* BT. The back door option is only tried if the front door option fails.

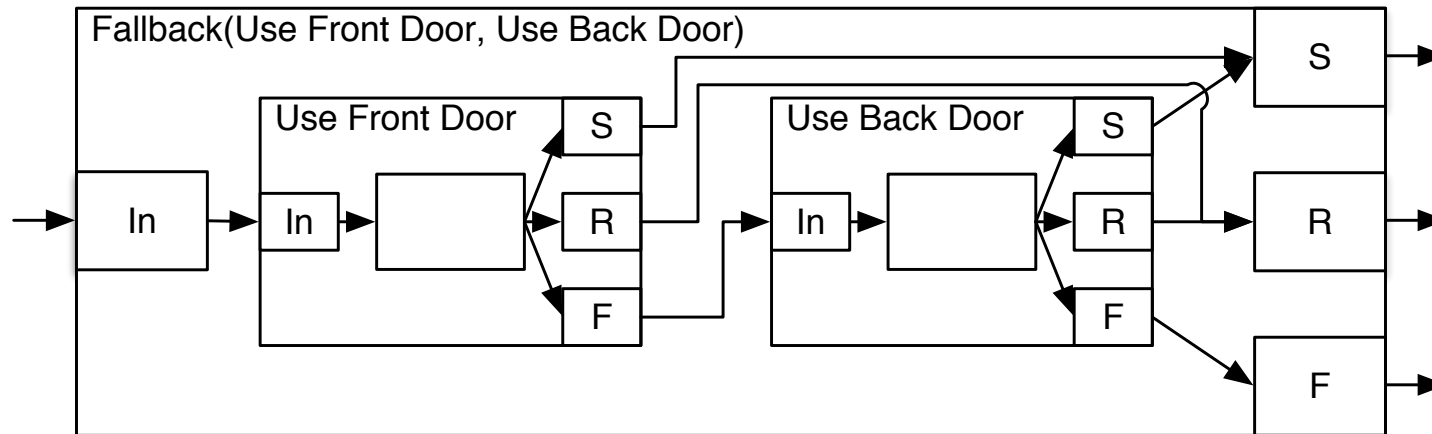


Fig. 2.7: A FSM corresponding to the Fallback BT in Figure 2.6. Note how the second state is only executed if the first fails.



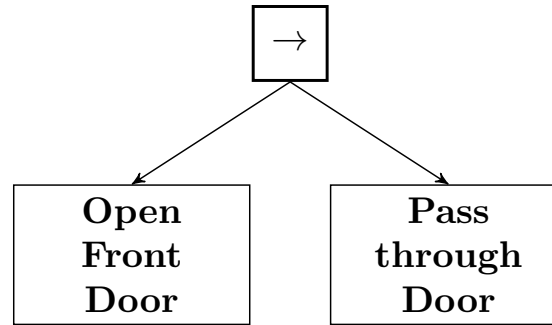


Fig. 2.8: A Sequence is used to to create an *Enter Through Front Door* BT. Passing the door is only tried if the opening action succeeds.

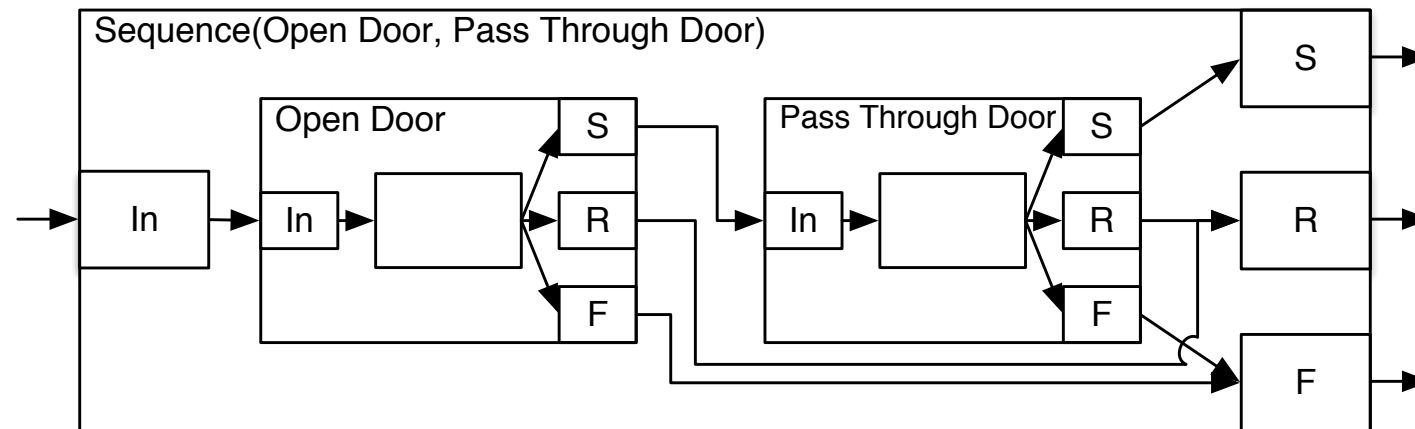


Fig. 2.9: An FSM corresponding to the Sequence BT in Figure 2.8. Note how the second state is only executed if the first succeeds.



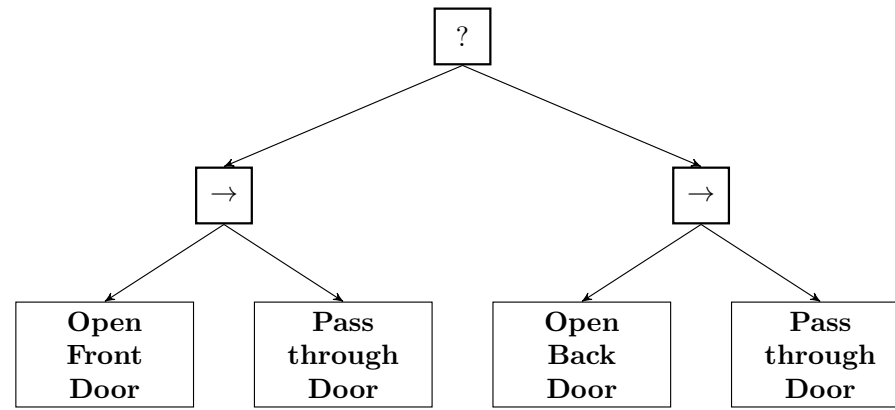


Fig. 2.10: The two BTs in Figures 2.6 and 2.8 are combined to larger BT. If e.g. the robot opens the front door, but does not manage to pass through it, it will try the back door.

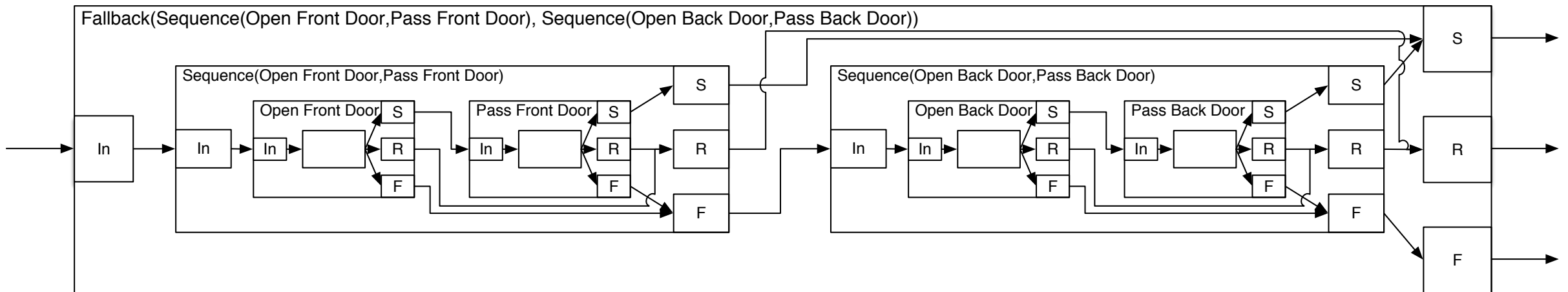


Fig. 2.11: An FSM corresponding to the BT in Figure 2.10.



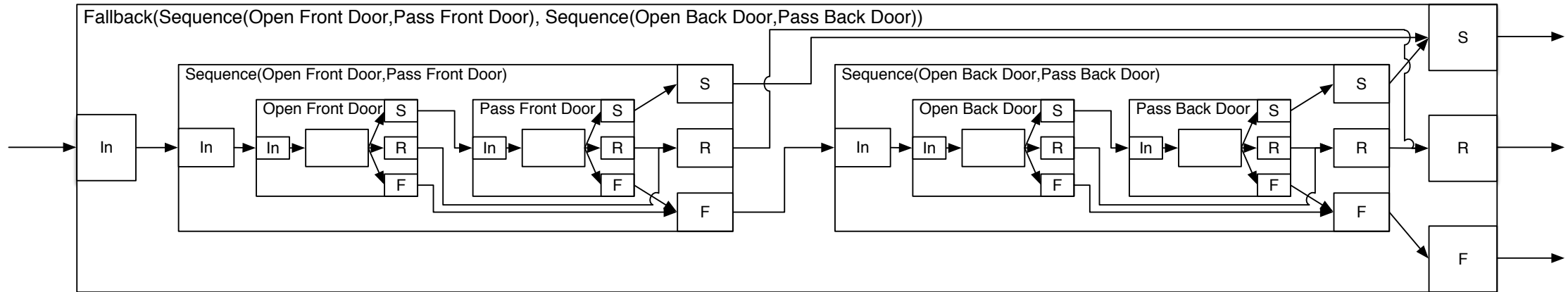


Fig. 2.11: An FSM corresponding to the BT in Figure 2.10.

[Colledanchise Ogren '20]

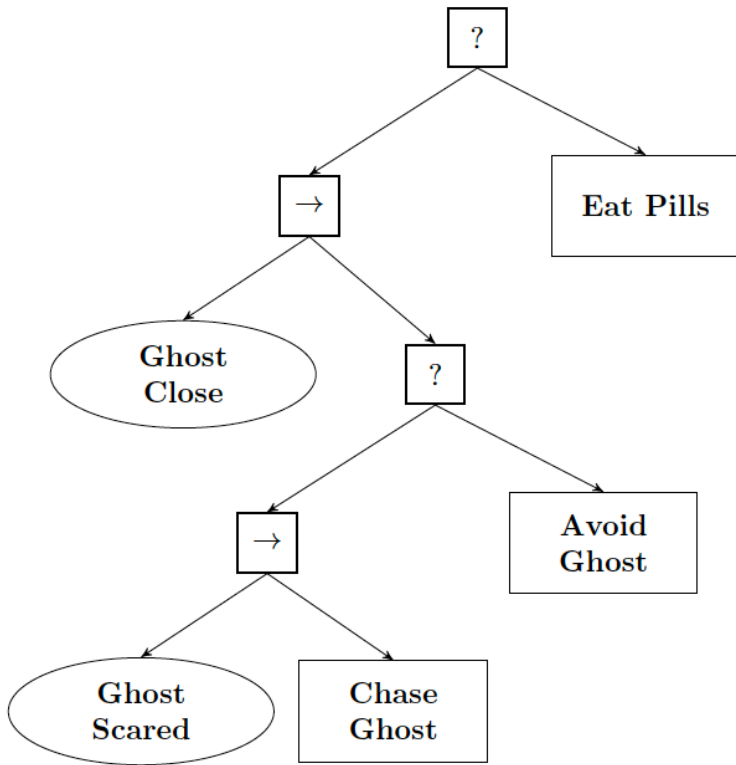
Observations/Claims:

- The nodes are not really “states,” but actors that fire when receiving an input
- The edges are not really “transitions,” but denote data (token) flow
- “Running” just denotes completion of reaction in absence of Success/Failure

Conclusion:

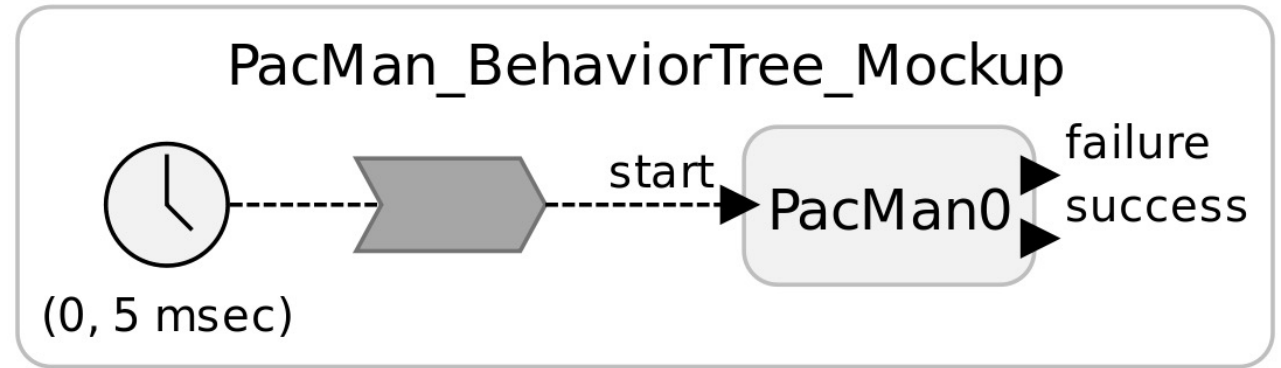
- Can map this directly to LF reactors!

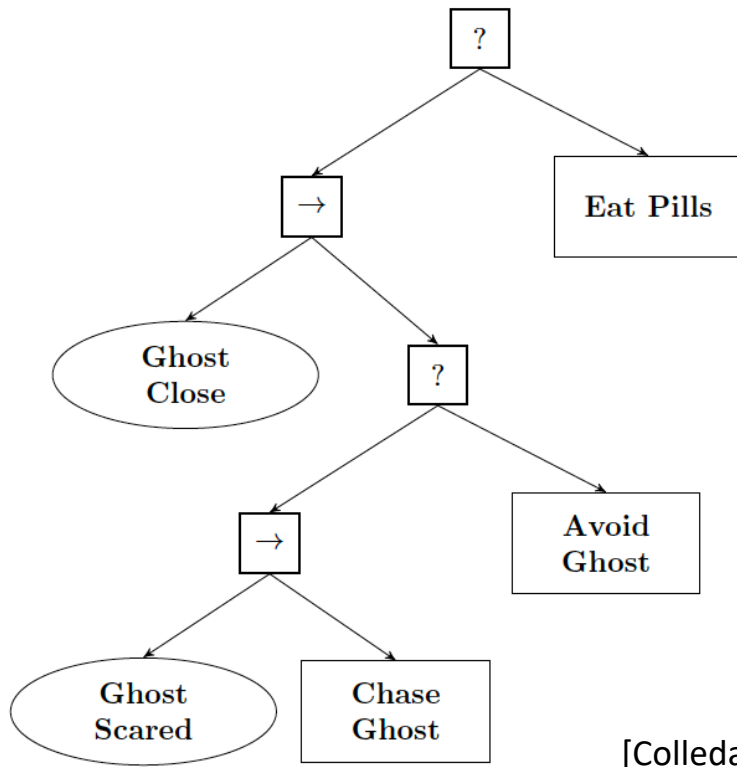




[Colledanchise Ogren '20]

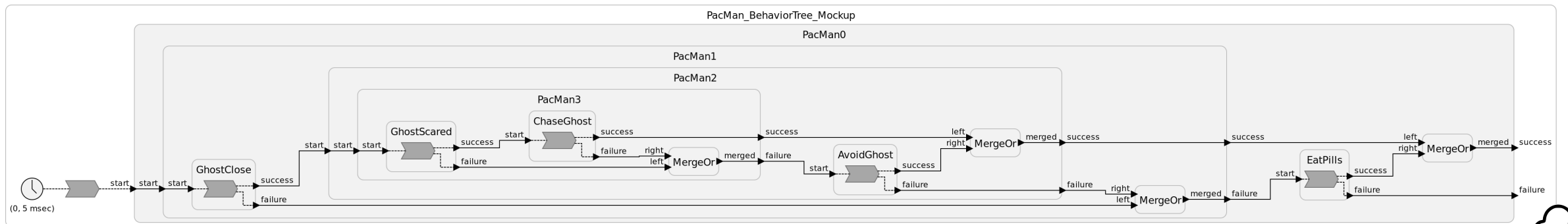
Top-Level:



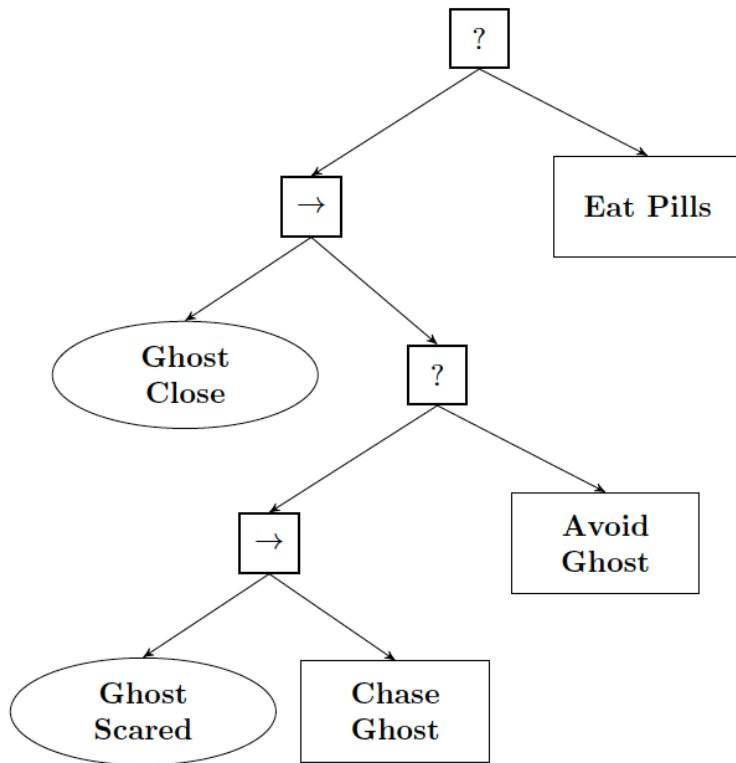


[Colledanchise Ogren '20]

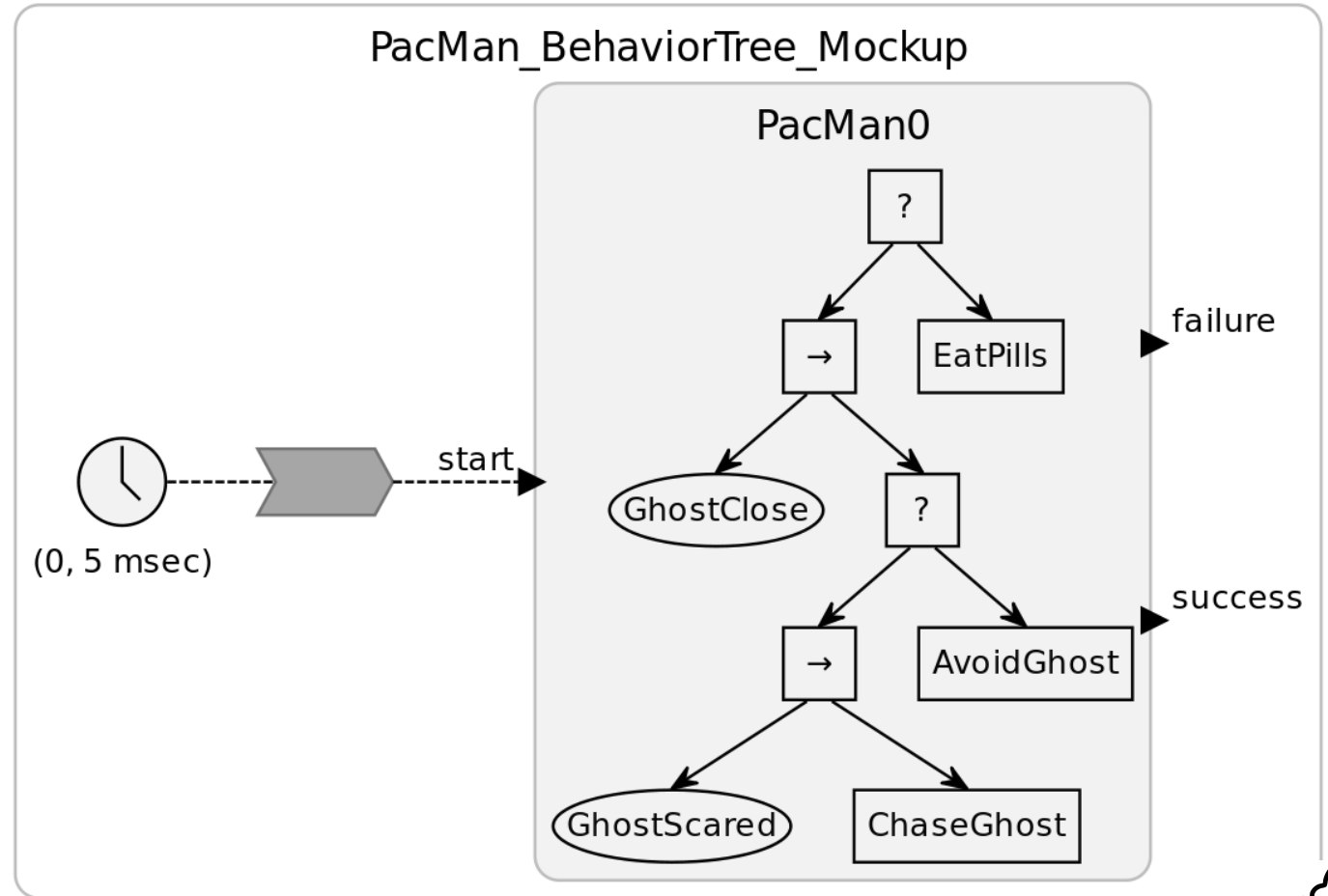
All Reactors:



With "Behavior Tree View":



[Colledanchise Ogren '20]



Behavior Trees/Lingua Franca in VS Code

The image shows a VS Code editor window with two panes. The left pane displays the source code for a PacMan behavior tree, and the right pane displays a visual diagram of the same tree.

```
98
99 start -> left.start
100 left.success -> right.start
101 left.failure -> merge.left
102 right.success -> success
103 right.failure -> merge.right
104 merge.merged -> failure
105 }
106
107 @bnode("root")
108 reactor PacMan extends BehaviorNode {
109   p = new Fallback1()
110
111   start -> p.start
112   p.failure -> failure
113   p.success -> success
114 }
115
116
117 // Root
118 main reactor {
119   timer t (0, 5msec)
120
121   bt = new PacMan()
122
123   reaction(t) -> bt.start {=
124     lf_print("Compute");
125     lf_set(bt.start, true);
126   =}
127   reaction(bt.success) {=
128     lf_print("Success");
129   =}
130   reaction(bt.failure) {=
131     lf_print("Failure");
132   =}
133 }
```

The diagram on the right, titled "Main", illustrates the execution flow of the behavior tree. It starts with a timer icon labeled "(0, 5 msec)" and a grey arrow labeled "1" pointing to a "start" label. This "start" label points to a root node (a box with "?") inside a "PacMan" container. The root node branches into two children: a box with "→" and a box labeled "EatPills". The "EatPills" node has a dashed arrow labeled "success" pointing to a grey arrow labeled "2". The "→" node branches into two children: an oval labeled "GhostClose" and another box with "?". This second "?" node branches into two children: a box with "→" and a box labeled "AvoidGhost". The "AvoidGhost" node has a dashed arrow labeled "failure" pointing to a grey arrow labeled "3". The "→" node under "AvoidGhost" branches into two children: an oval labeled "GhostScared" and a box labeled "ChaseGhost".



Ongoing Work: DSL for Behavior Trees in Lingua Franca

The image shows a screenshot of an IDE with two panes. The left pane displays a DSL code for a PacMan behavior tree, and the right pane displays a corresponding diagram of the behavior tree.

```
1 target C;
2
3 btree PacMan {
4   sequence {
5     action {
6       reaction {=
7         lf_print("Job 1");
8         lf_set(success, true);
9       =}
10  }
11  action {
12    reaction {=
13      lf_print("Job 2");
14      lf_set(success, true);
15    =}
16  }
17 }
18 }
19
20 main reactor {
21   bt = new PacMan()
22
23   timer t (1 sec, 1 sec)
24
25   reaction(t) -> bt.start {=
26     lf_print("Compute");
27     lf_set(bt.start, true);
28   =}
29   reaction(bt.success) {=
30     lf_print("Success");
31   =}
32   reaction(bt.failure) {=
33     lf_print("Failure");
34   =}
35 }
```

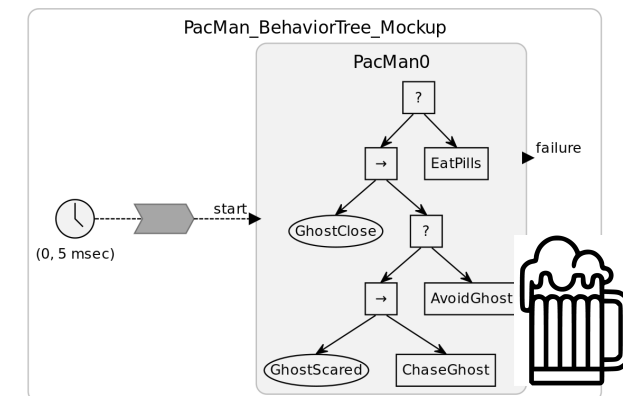
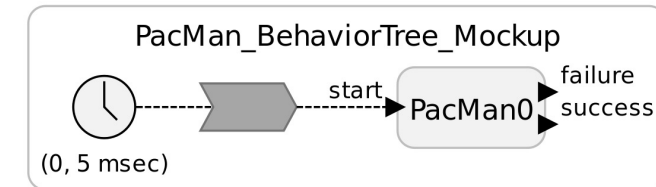
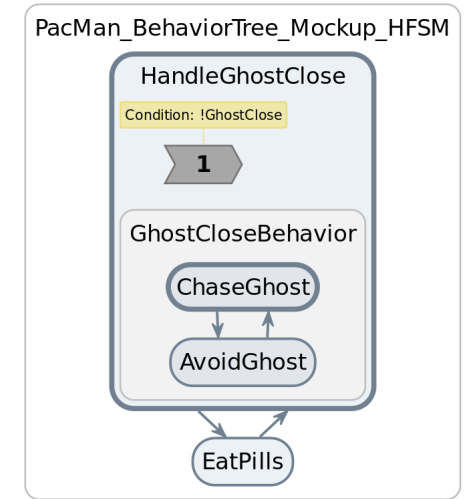
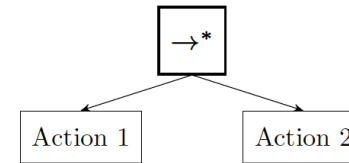
The diagram on the right, titled "Main", illustrates the behavior tree structure. It features a "Main" container with a clock icon labeled "(1 sec, 1 sec)" and a grey arrow labeled "1" pointing to a "start" event. This event triggers the "PacMan" behavior tree. Inside "PacMan", there is a root node with a right-pointing arrow that branches into two child nodes, "Action0" and "Action1". "Action0" leads to a "success" event, which is represented by a grey arrow labeled "2". "Action1" leads to a "failure" event, which is represented by a grey arrow labeled "3".

Zeile 26, Spalte 24 Leerzeichen: 4 UTF-8 LF Lingua Franca



Wrap-Up – BTs in Lingua Franca

- Truly modal BTs can be expressed with modal reactors
 - Suitable for “select mode pattern”
 - Must consider that modal transitions are by default “deferred”
 - May also apply for BT nodes “with memory” (asterisk decorators)
- For general, “reactive” BTs, an actor model may be more suitable
 - Modular construction
 - Success + Failure communicated to neighbor/parent
 - Can use existing LF language
 - Can synthesize pictorial BTs within LF diagrams, resulting in hybrid data flow/BT views



Behavior Trees in Esterel

Preliminary work, barely ...



Recall (Some) Basic Esterel Operators

<code>s1 ; s2</code>	Run s1, s2 sequentially
<code>s1 s2</code>	Run s1, s2 in parallel
<code>pause</code>	Finish tick (terminate with completion code 1)
<code>trap T in s end</code>	Declare trap scope
<code>exit T</code>	Exit trap (terminate with completion code 2 or higher)

Example:

```
trap T in
  present I then exit T end;      // If I holds in first tick: terminate whole program
  pause;
  emit O                          // Otherwise: emit O in second tick
end
```



Mapping BTs to Esterel

Observation: return values correspond nicely to completion codes in Esterel.

Esterel in turn can be mapped to hierarchical FSMs,
which should also work for LF modal models

- 0 – (normal) termination – “Succeeds”
- 2 (and higher) – throw exception – “Fails”
- 1 – pause operation – “Running”

Node type	Symbol	Succeeds	Fails	Running
Fallback	?	If one child succeeds	If all children fail	If one child returns Running
Sequence	→	If all children succeed	If one child fails	If one child returns Running
Parallel	⇒	If $\geq M$ children succeed	If $> N - M$ children fail	else
Action	text	Upon completion	If impossible to complete	During completion
Condition	text	If true	If false	Never
Decorator	◇	Custom	Custom	Custom



“Parallel” in Esterel

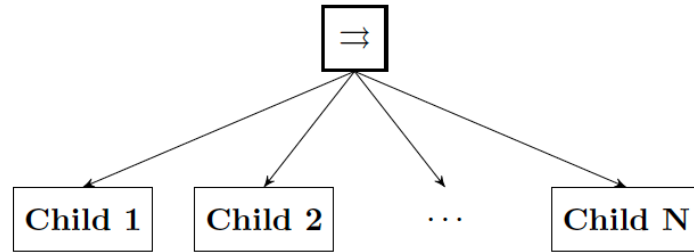


Fig. 1.4: Graphical representation of a Parallel node with N children.

Algorithm 3: Pseudocode of a Parallel node with N children and success threshold M

```
1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus(i) \leftarrow Tick(child(i))$ 
3 if  $\sum_{i:childStatus(i)=Success} 1 \geq M$  then
4   return Success
5 else if  $\sum_{i:childStatus(i)=Failure} 1 > N - M$  then
6   return Failure
7 return Running
```

[Colledanchise Ogren '20]

// Children signal failure with “exit Failure”

Parallel(child1, child2, ..., childN):

int SuccessCnt = 0, FailureCnt = 0;

trap SuccessPar in

[

 trap Success in

 trap Failure in

 child1;

 SuccessCnt++; // Increment SuccessCnt if child succeeds

 exit Success;

 end trap;

 FailureCnt++; // Increment FailureCnt if child fails

 end trap

||

...

||

 loop

 if (SuccessCnt \geq M)

 exit SuccessPar;

 if (FailureCnt $>$ N-M)

 exit Failure;

 pause;

 end loop

]

end trap



“Parallel” in Esterel

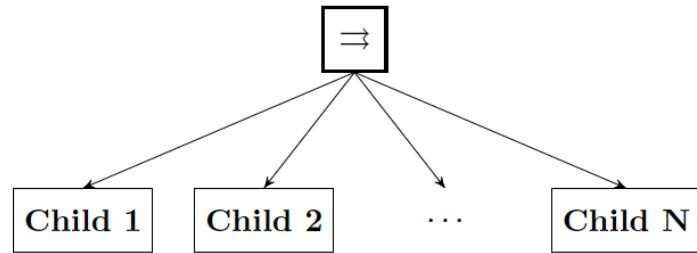


Fig. 1.4: Graphical representation of a Parallel node with N children.

Algorithm 3: Pseudocode of a Parallel node with N children and success threshold M

```
1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus(i) \leftarrow Tick(child(i))$ 
3 if  $\sum_{i:childStatus(i)=Success} 1 \geq M$  then
4   return Success
5 else if  $\sum_{i:childStatus(i)=Failure} 1 > N - M$  then
6   return Failure
7 return Running
```

```
// SPECIAL CASE  $M = N$ 
// Children signal failure with “exit Failure”
// If any child fails, the parallel fails
// If all children succeed, the parallel succeeds
```

Parallel(child1, child2, ..., childN):

```
[
  child1;
  ||
  ...
  ||
  childN;
]
```

[Colledanchise Ogren '20]



“Fallback” in Esterel

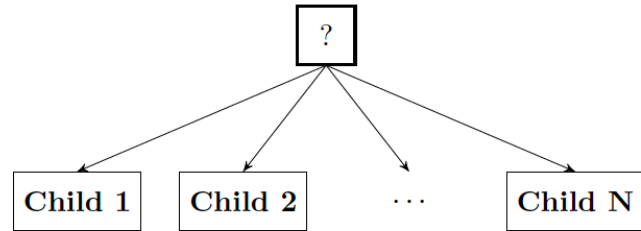


Fig. 1.3: Graphical representation of a Fallback node with N children.

Algorithm 2: Pseudocode of a Fallback node with N children

```
1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus \leftarrow Tick(child(i))$ 
3   if  $childStatus = Running$  then
4     return  $Running$ 
5   else if  $childStatus = Success$  then
6     return  $Success$ 
7 return  $Failure$ 
```

[Colledanchise Ogren '20]

// Children signal failure with “exit Failure”

Fallback(child1, child2, ..., childN):

trap Success in

trap Failure in
child1;

exit Success; // Success when child1 terminates normally
end trap;

trap Failure in
child2;

exit Success; // Success when child2 terminates normally
end trap

...

childN; // If childN fails, propagate that out
// Otherwise, terminate normally (= Success)
end trap



“Sequence” in Esterel

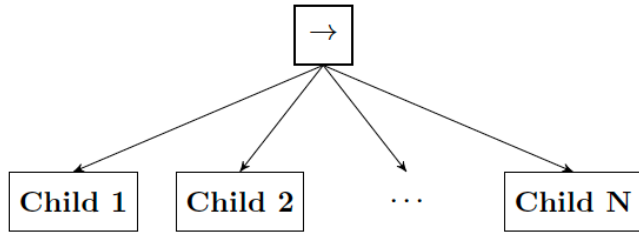


Fig. 1.2: Graphical representation of a Sequence node with N children.

Algorithm 1: Pseudocode of a Sequence node with N children

```
1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus \leftarrow Tick(child(i))$ 
3   if  $childStatus = Running$  then
4     return  $Running$ 
5   else if  $childStatus = Failure$  then
6     return  $Failure$ 
7 return  $Success$ 
```

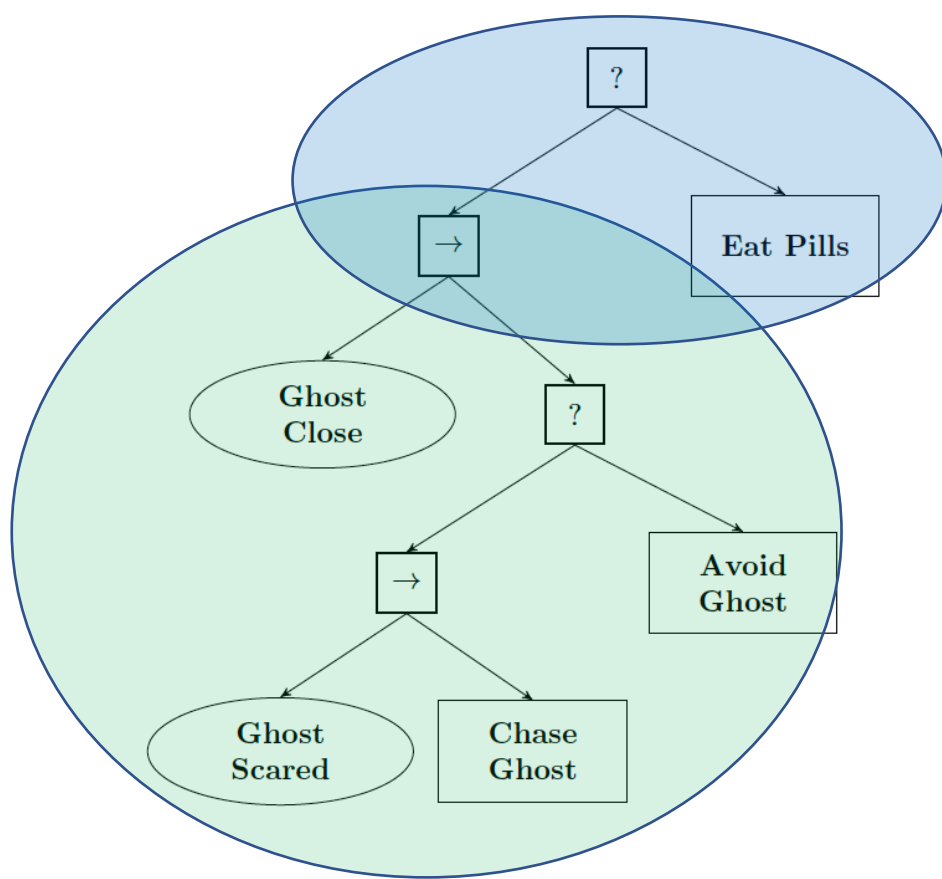
[Colledanchise Ogren '20]

// Children signal failure with “exit Failure”
// If any child fails, this is propagated out
// Otherwise, terminate normally (success)

Sequence(child1, child2, ..., childN):

child1;
child2;
...
childN;





[Colledanchise Ogren '20]

Fallback(child1, child2, ..., childN):

```

trap Success in
  trap Failure in
    child1;
    exit Success;
  end trap; // Failure
trap Failure in
  child2;
  exit Success;
  end trap // Failure
...
childN;
end trap

```

Sequence(child1, child2, ..., childN):

```

child1;
child2;
...
childN;

```

Pac-Man()

```

trap Success in
  trap Failure in
    if (GhostClose) exit Failure;
    ...
  trap Success in
    trap Failure in
      if (GhostScared) exit Failure;
      ChaseGhost();
      exit Success;
    end trap;
    AvoidGhost();
  end;
  exit Success;
end trap;
EatPills();
end trap

```

But Remember:

At every tick,
start at **root** of BT



“Sequence” in Esterel – Not

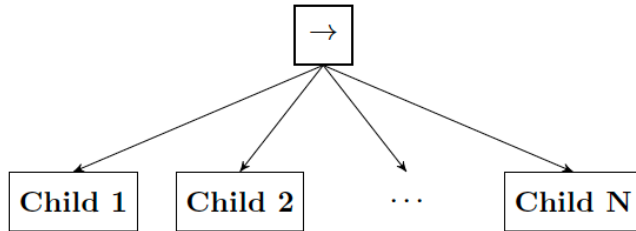


Fig. 1.2: Graphical representation of a Sequence node with N children.

Algorithm 1: Pseudocode of a Sequence node with N children

```
1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus \leftarrow Tick(child(i))$ 
3   if  $childStatus = Running$  then
4     return  $Running$ 
5   else if  $childStatus = Failure$  then
6     return  $Failure$ 
7 return  $Success$ 
```

The problem: this translation implements an “un-reactive” *sequence with memory*, where we resume at running children, instead of re-starting each tick at first child again

// Children signal failure with “exit Failure”
// If any child fails, this is propagated out
// Otherwise, terminate normally (success)

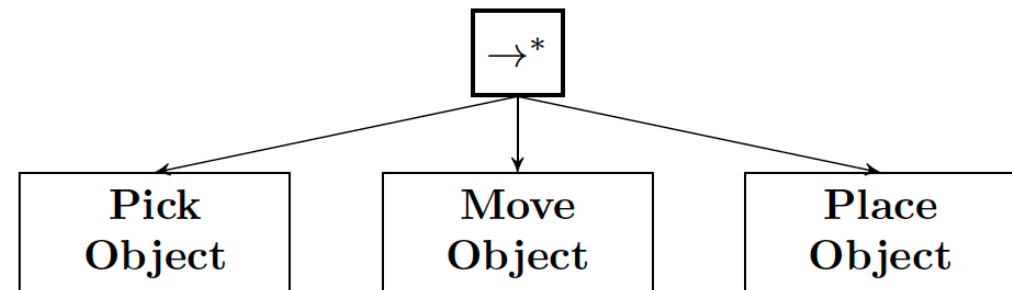
Sequence(child1, child2, ..., childN):

child1;

child2;

...

childN;



“Sequence” in Esterel – With Weak Suspend?

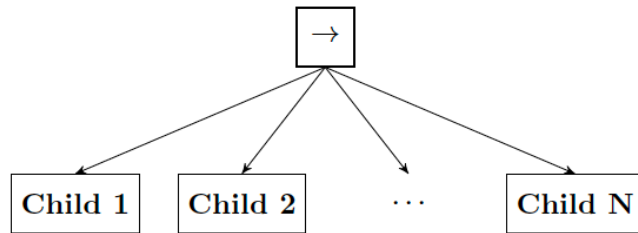


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7 return  $Success$ 
```

[Colledanchise Ogren '20]

```
// Children signal failure with “exit Failure”
// If any child fails, this is propagated out
// Otherwise, terminate normally (success)
```

Sequence(child1, child2, ..., childN):

weak suspend

child1;

child2;

...

childN;

when true



Note: should also consider nestings of reactive (no memory) and non-reactive (with memory) constructs.

“Fallback” in Esterel – With Weak Suspend

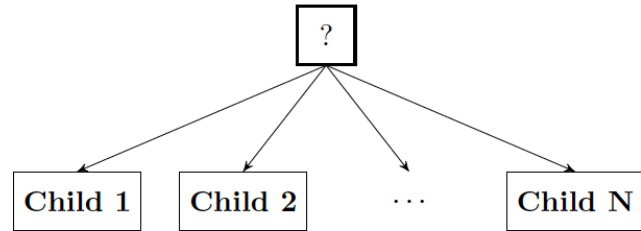


Fig. 1.3: Graphical representation of a Fallback node with N children.

Algorithm 2: Pseudocode of a Fallback node with N children

```
1 for  $i \leftarrow 1$  to  $N$  do
2    $childStatus \leftarrow Tick(child(i))$ 
3   if  $childStatus = Running$  then
4     return  $Running$ 
5   else if  $childStatus = Success$  then
6     return  $Success$ 
7 return  $Failure$ 
```

[Colledanchise Ogren '20]

// Children signal failure with “exit Failure”

Fallback(child1, child2, ..., childN):

weak suspend

trap Success in
trap Failure in
child1;

exit Success; // Success when child1 terminates normally
end trap;

trap Failure in
child2;

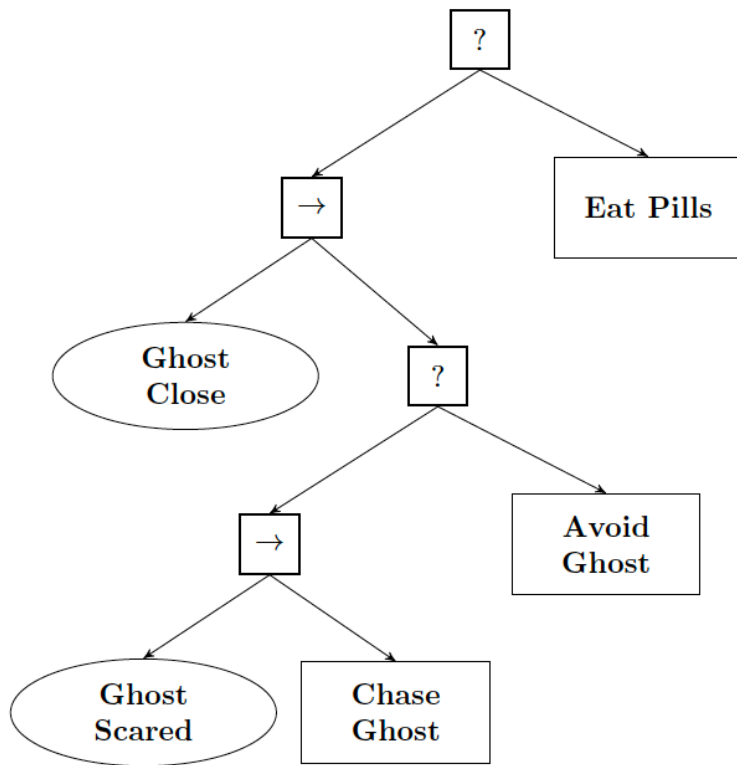
exit Success; // Success when child2 terminates normally
end trap

...

childN; // If childN fails, propagate that out
// Otherwise, terminate normally (= Success)
end trap

when true

Esterel Sketches for Select Mode Pattern



Sequence special case:

- Only 2 children
- child1 is a condition, i.e., “instantaneous”, returns either Failure or Success

// Option 1: child1 encodes
// Success/Failure as true/false:

Sequence(child1, child2):

```
abort
  child2
when immediate !child1
```

// Option 2: child1 encodes
// Success/Failure with
// as termination/exit Failure:

Sequence(child1, child2):

```
loop
  trap Success in
  trap Failure in
    child1;
  exit Success;
end trap; // Failure
emit abortChild2;
exit Failure
end trap; // Success
pause;
```

```
end
||
abort
  child2
when immediate abortChild2
```

Fallback special case:

- Only 2 children
- child1 returns either Failure or Running

// child1 encodes Failure/Running
// as termination/exit Failure:

Fallback(child1, child2):

```
trap Success in
[
  loop
  trap Failure in
    child1;
  // Usually don't get here
  exit Success;
end trap; // Failure
emit abortChild2;
end trap; // Success
pause;
end
||
abort
  child2
when immediate abortChild2
]
```

Wrap-Up – BTs in Esterel

- As in Esterel, individual BT nodes do maintain (internal) state
- However, “reactive” BT does **not** maintain state; e.g., sequence always starts at first child
- BT return values resemble Esterel completion codes
- However, emulating “reactiveness” with Esterel (v5) appears non-trivial
- Possible approaches (?):
 - weak suspension, to avoid changing state
 - Explicit control structure, based on existing primitives such as (weak) aborts, loops, (weak) suspend, gotopause, ...
 - New primitive(s) designed explicitly for reactivity
 - Dataflow approach, as in “FSM pattern” also used in Lingua Franca

Thanks!